

# HANDOVER BETWEEN MOBILE COMMUNICATION NETWORKS

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention:

5       The present invention is concerned with handover of a call between mobile communication networks. The present invention is particularly concerned with handover between UMTS networks and GSM networks but is also applicable to handover between different GSM  
10 networks and different cellular mobile communication networks generally.

### 2. Description of the Related Art:

15       In current GSM systems, it is possible for a user to select manually among a plurality of available GSM networks when a mobile device (User Equipment) is off line. It is also possible for the User Equipment to select a network automatically from among the available networks based on preferences stored in the User  
20       Equipment, normally in the SIM card. However, when a call is in progress, this selection is not possible. In the present specification, the term User Equipment is intended to encompass any equipment capable of communicating with a network; this will typically be a mobile telephone, but may be, for example, a dedicated  
25       data, facsimile, E-mail or video communication device or combination device.

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During call progress, the majority of the User Equipment's radio resource are involved in the active call and so it is not possible to scan broadly for available networks. To enable a mobile device to move  
5 between cells, while a call is in progress, the network supplies a limited list of adjacent cells, normally confined to cells provided by the same network provider and permits the mobile device to make limited investigation of signal strength from the neighboring  
10 cells. In the event that the mobile device finds a stronger signal from another cell, it can signal a change to the other cell.

According to above prior method, it is not impossible to hand over between mobile communication networks  
15 while call is in progress.

It has been appreciated that it would be desirable to enable User Equipment to select a preferred one of a plurality of available networks while a call is in progress. This would be particularly beneficial when  
20 handing over from a UMTS network to a selected GSM network', but would also be useful, particularly when traveling outside the User Equipment's home network territory, to enable handover between preferred "foreign" networks.

25 It has been appreciated that, although this is not normally done, it would be possible for an active

network to supply a list of cells not only belonging to the active network, but also including cells of other network providers. This potentially offers a solution to the problem mentioned above, and may be provided  
5 independently in an aspect of the invention.

However, to implement the system, the network provider would have to supply a significant amount of information concerning other network providers' cells. Therefore, the above mentioned potential solution has  
10 the attendant problem that the list of available cells may become too large in practical terms for the User Equipment to investigate during an active call, and this may degrade performance of the network. This solution may therefore  
15 be undesirable for many network providers.

#### SUMMARY OF THE INVENTION

It is an object of the present invention is to provide a method capable of handing over between mobile  
20 communication networks while call is in progress.

Pursuant to the invention, an improved solution has been proposed. According to the first main aspect of the invention, the invention provides a method of facilitating handover from an active network with which  
25 User Equipment is in communication to another network. According to the present invention, the active network

provides a list of available other networks to the User Equipment. User Equipment selects among the available networks based on preference information and signals to the active network at least one preferred other network.

- 5 The active network provides neighbor cell information for the at least one preferred other network to the User Equipment.

In this way, the User Equipment may signal a preferred network to the active network and then the  
10 active network may transmit cell information for only the preferred network(s) to that User Equipment.

The preference information may be stored in the User Equipment, preferably in the SIM card. The preference information may include a list of networks  
15 which are "black listed" with which the User Equipment is not able to connect. The preference information may also include a list of networks which are "white listed" with which communication is preferred. The white list may include partner networks of the home  
20 network provider. The preference information may include an explicit "gray list" of networks which are to be tried in the absence of a "white listed" network; alternatively, networks which are not explicitly in the white list or black list may be deemed to be in the  
25 gray list. Multiple levels of preference may be stored. Preferably, the method includes storing in the User

Equipment a list of available networks based on  
information supplied by the active network. This  
feature, using information supplied by a network rather  
than that obtained by a (relatively lengthy) search  
5 performed by the User Equipment, offers advantages.

The method may include incrementally adding to or  
deleting from the list of available networks stored in  
the User Equipment. This feature avoids the need to re-  
transmit a complete list, thereby allowing shorter  
10 messages to be used.

Using the method of claim 1, it becomes possible  
for a network to send different neighbor cell  
information to different terminals (User Equipment),  
based on preferences expressed by the terminals and not  
15 solely dependent on the area in which the terminals are  
located.

The signalling of available networks by an active  
network, the first step in the method of claim 1, is of  
itself a useful step as it provides a terminal with  
20 information concerning available networks without the  
terminal having to search.

The signalling by a terminal to an active network  
with which the terminal is in communication of a  
preferred (other) network (effectively the second step  
25 in the method of claim 1) in itself provides useful  
information to the active network.

The invention extends to methods of operating terminals and to methods of operating networks, terminals and network for implementing any the above aspects.

5 In a first preferred implementation, the active network is a UMTS network and the list of available networks comprises a list of available GSM networks. This implementation facilitates handover from UMTS to a selected GSM network.

10 In a second implementation, the active network is a GSM network (or a UMTS network) provided by an active network provider and the list of available networks comprises a list of other GSM networks (or UMTS networks) provided by other network providers. This  
15 facilitates handover within a communication system between network providers.

The above and other objects, features and advantages of the present invention will become apparent from the following description with reference  
20 to the accompanying drawings which illustrate examples of the present invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig.1 is a flowchart showing a processing of the  
25 first embodiment according to the present invention.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

An embodiment of the invention will now be described, by way of example.

5 By way of explanation, we will describe in general terms, the elements of a practical implementation of a handover system embodying several of the above aspects and advantageous features. The following applies to both handover between networks of a similar type (e.g. 10 GSM) belonging to different network providers, or between networks - of differing types (e.g. UMTS to GSM), unless otherwise stated. Indeed, in certain applications it may be possible to select between multiple networks of multiple types, for example GSM 15 900, GSM 1900, UMTS and local coverage networks.

### Features of User Equipment

In addition to "standard" user equipment features, for communicating with the networks between which 20 handover is to be performed (for a UMTS to GSM handover, this requires a dual mode terminal), the user equipment should ideally have the following components:-

A store for a list of network preferences.

This may be based on an existing store, preferably 25 in the SIM card (which term as used in this specification is intended to encompass any removable

device which is used to configure a standard handset),  
and will normally be at least partially pre-programmed  
by the home network provider. The equipment may be  
configured to allow the user to edit at least part of  
5 this list, for example by adding networks or modifying  
preference levels.

In particular, where a network provider has two or more  
partner networks in a given (foreign) country and the  
charges vary (either permanently or from time to time)  
10 between networks, the user (or the network provider)  
may update the preference list so that the cheaper  
network is always preferred. The preference list may  
simply be binary, 0 for never use ("blacklisted"), 1  
for use if possible ("white listed"), unlisted networks  
15 being used if a network annotated 1 is not found.

Alternatively, multiple levels may be stored; this  
facilitates differentiation on the basis of pricing. If  
multiple levels are used, the home network may be given  
a unique, highest, preference. If only two or a few  
20 levels are provided, the home network may nonetheless  
be specifically marked, if desired. Although it is  
greatly preferred to store the preferences, the user  
may be prompted to select all alternative network on  
demand; this will normally be inconvenient during a  
25 voice call, but may be more acceptable in a data  
communication application. The order in which the



networks are listed may or may not be significant; if it is, even in a binary system, the order of networks within the stored list may be used to designate preference and all white listed networks may be ranked  
5 in order. Thus, in the selecting step, the User Equipment may be arranged to find the first white listed available network.

- Means for receiving and interpreting a message  
10 specifying available networks.

The User Equipment must be able to interpret a special message sent during an active call from the active network listing possible handover networks. This  
15 may be based on existing facilities for interpreting messages during a call, the special message being identified by a special prefix or identifier agreed with the network. It is to be noted that it may only be possible to perform preferential handover from certain  
20 networks but not others, particularly where certain networks are not configured to provide special messages identifying neighboring networks. For example, in the case of UMTS to GSM handover, the UMTS network may be arranged to provide a list of candidate GSM networks,  
25 but, when attempting to hand back to UMTS from a GSM network, or when attempting to hand over between at



the received signal becomes weak). However, preferably, the User Equipment is able specifically to request this information; this may be achieved in a similar manner to the conventional manner for User Equipment to send a  
5 handover, but using a message identifier agreed with the network as signifying a request for available networks.

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- Means for comparing the list of available  
10 networks to the list of preferences and selecting a network.

This may be achieved simply by searching for each available network in the list of preferences and  
15 returning the one (or top several) with the highest preference. Where more than one available network has an equal top preference, the User Equipment may select several in turn and then, following receipt of radio cell information, select the network with the strongest  
20 signal. Alternatively, other criteria may be provided.

- Means for signalling the preferred network.

This may be achieved simply by sending a message  
25 with an identifier agreed with the network to signify a network selection.

Thereafter, the network would supply neighbor cell information for the selected network(s) in a similar manner to that presently used to enable cell-to-cell handover and the User Equipment would treat this cell information (radio frequencies, time slots codes, etc.) in the same way to find the most suitable handover candidate.

#### Features of Network

- 10 In addition to "standard" network parameters, a network should implement the following features:-
- Store of list of available other networks.

15 This list varies from point to point, so it is conveniently stored at each base station or radio access point. This may be based on stored information, obtained either based on knowledge of other networks in the area and predicted coverage, or based on empirical data, for example obtained by scanning for radio

20 coverage throughout the region covered by a cell, or by fixed receivers located at the access point and surrounding access points. Normally the network will not know the position of the User Equipment to much better than 1 cell accuracy (although, by triangulation

25 from other cells in the network, accurate positioning is possible; alternatively, a rough idea of direction

within the cell may be obtained), so the list of potentially available networks may include all networks receivable at any point within the cell. If the list omits certain networks which are in fact available, then handover to those networks will not be possible, so it is desirable to include more rather than fewer in the list. However, where too many networks are included, efficiency will be degraded, as the User Equipment may be instructed to search for an "available" network which is in fact not available. It may be possible to update the list dynamically, based on information returned from User Equipment concerning availability of networks.

For each available network, corresponding neighbor cell information (frequencies, time slots etc.) should be stored.

The network is configured to send and respond to messages described above in relation to the User Equipment. Thus, in a practical example, processing steps and exchange of messages are shown in Fig.1.

At step 101, User Equipment determines handover required and request available networks. At step 102, the active network looks up list of available networks and transmits the list to the User Equipment. At step 103, User Equipment compares the list to preferences, selects preferred network and signals the preferred

network to the active network. At step 104, the active network looks up cell information for preferred network and signals neighbor cell information to the User Equipment. At step 105, the User Equipment searches for cell availability, determines cell and network and signals handover to specific cell. Finally, at step 106, handover to new network is implemented.

#### 2nd embodiment;

The first embodiment above deals with transmission of a complete list of available networks in response to a specific request. The following second embodiment deals in particular with incremental updating of the list of available networks, and these details may be used in other contexts (not only in the UMTS to GSM handover case in which it is explained), for example in GSM to GSM handover.

#### Application to UMTS to GSM handover

Three novel signaling procedures are proposed as below. All these procedures rely on local interaction between the dual mode terminal and the UTRAN, with no involvement of the VLR or core network.

We will assume that while a dual mode terminal has a call in progress, it maintains a list of potential GSM handover candidates { G1, G2, G3,... } (which may

contain no entries if no potential GSM handover candidate is available). At any time it also has a preferred candidate Gpref which is a member of { G1, G2, G3,...}. This proposal describes signalling means  
5 whereby this list is maintained.

#### Signalling the availability of candidate GSM networks

At any time during a call the serving UMTS network U can signal to the dual mode terminal the identity of one or more GSM network that are available, {Gi.} for  
10 potential handover. This signal contains the unique network identifier of each potential GSM network. This message would typically be used when the terminal first enters the coverage area of a potential candidate GSM  
15 network while a call is active, or sets up a call while within its coverage area,

The terminal records the identity of the GSM networks, and compare it with internally stored information (for example a network preference list  
20 stored on the SIM and the identity of other available GSM networks previously signalled to it) and signals its preference Gpref back to the serving network, if it can identify a suitable network.

After this the serving network provides neighbor  
25 cell information relating to network Gpref until such time as the call ends, the terminal leaves the network

or the terminal indicates a new value of Gpref.

Signalling the non-availability of an existing  
candidate GSM network

5        When the terminal leaves the coverage area of a  
potential candidate GSM network during a call, the  
serving radio network U can signal to the terminal at  
any time the identity of GSM networks, {Gi.} that are  
now unavailable. This signal contains the unique  
10 network identifier of the GSM network which is no  
longer available.

      The terminal can note that this GSM network is no  
longer available, and using internally stored  
information (for example a network preference list  
15 stored on the SIM and the identity of remaining  
available GSM networks) signals its preference Gpref  
back to the serving network, if a suitable network is  
identified.

      After this the serving network provides neighbor  
20 cell information relating to network Gpref until such  
time as the call ends, the terminal leaves the network  
or the terminal indicates a new value of Gpref.

Terminal network preference

25        At any time the terminal can signal a new  
preference Gpref back to the serving network, which



would be chosen from the list of available networks.  
After this the serving network provides neighbor cell  
information relating to network Gpref until such time  
as the call ends, the terminal leaves the network or  
5 the terminal indicates a new value of Gpref.

Typically this signal would be sent if the list of  
available GSM network changed in such a way that the  
preferred network needed to be re-evaluated.

In the above described second embodiment, the  
10 mobile device always has a preferred other network  
stored and is therefore regularly updated with neighbor  
cell information for that preferred network. This is  
particularly useful in the case of a dual mode terminal  
(the case of UMTS, to GSM handover being one example,  
15 GSM 900 to GSM 1900 being another example) where the  
"unused" mode components can be kept up dated and ready  
to change as soon as required.

To summarize, the above embodiments provide the  
following novel features, each of which may be  
20 independently provided:-

- The signalling of available GSM candidate network  
information.
- The maintenance in the terminal of a list of  
available networks.
- 25 - The possibility of incremental addition and deletion  
of elements of that list.

- The ability of the terminal to indicate the preferred network.

- The ability to send selective neighbor cell information to different dual mode terminals, so that  
5 different terminals within the same area may receive different neighbor cell information.

While preferred embodiments of the present invention have been described using specific terms, such description is for illustrative purposes only, and  
10 it is to be understood that changes and variations may be made without departing from the spirit or scope of the following claims.

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